



OCTOBER 21, 2002

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DORSEY & WHITNEY LLP  
ROBIN M. SILVA  
FOUR EMBARCADERO CENTER, STE. 3400  
SAN FRANCISCO, CA 94111-4187

Under Secretary of Commerce For Intellectual Property and  
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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/13/2002

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HORWITZ, DAVID A.

DOC DATE: 07/08/2002

ASSIGNEE:

SOUTHERN CALIFORNIA, UNIVERSITY OF  
3716 SOUTH HOPE STREET  
LOS ANGELES, CALIFORNIA 90007-4344

SERIAL NUMBER: 09833526

FILING DATE: 04/11/2001

PATENT NUMBER:

ISSUE DATE:

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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Docket No 469443-00013[A68983-1/RFT/RMS/RMK]

FORM PTO 1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

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RECORDATION COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name(s) of conveying party(ies): 8/13/02  
HORWITZ, David A.

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: University of Southern California

Street Address: 3716 South Hope Street

City: Los Angeles State: CA

ZIP: 90007-4344

Country: USA

Additional name(s) & address(es) attached  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/833,526

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robin M. Silva

Internal Address: DORSEY & WHITNEY LLP

Street Address: Four Embarcadero Center, Ste. 3400

City: San Francisco State: CA ZIP 94111-4187

6. Total Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41). . . 1 x \$40. . . . . \$40

Enclosed

Authorized to be charged to deposit account

8. Deposit Account number: 50-2319

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Renee M. Kosslek, Reg. No. 47,717  
Filed under 37 C.F.R. § 1.34(a)

Name of Person Signing \_\_\_\_\_  
Reg. No. \_\_\_\_\_

Signature \_\_\_\_\_

8/8/02  
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

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08/08/2002 AFM:ED 00000008 03633521

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ASSIGNMENT

WHEREAS, the undersigned David A. Horwitz, Santa Monica, California, has invented certain new and useful improvements in and have filed an application for a United States patent entitled: **A METHOD TO PREVENT GRAFT REJECTION USING TGF- $\beta$  TO INDUCE T SUPPRESSOR CELLS** bearing Serial No. 09/833,526, filed April 11, 2001, disclosing and identifying the invention; and

WHEREAS, University of Southern California, a corporation of the state of CALIFORNIA, having a place of business at 3716 South Hope Street, Suite 313, Los Angeles, 90007-4344, State of CALIFORNIA, hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting

3. Applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

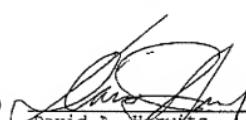
3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their heirs, legal representatives and assigns.

4. Said Inventors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventor has executed and delivered this instrument to said Assignee this

(1) 8<sup>th</sup> day of July, 2002

respectively.

(1)   
\_\_\_\_\_  
David A. Horwitz

County of Los Angeles  
State of California

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}ss  
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On this 8<sup>th</sup> day of July, in the year 2002, before me,  
OLIVIA I. MONTES, Notary Public of the State of California,  
personally appeared (1) David A. Horwitz, personally known to me (or  
proved to me on the basis of satisfactory evidence) to be the person whose  
name is subscribed to the within instrument, and acknowledged that he/she  
executed the same in his/her authorized capacity(ies), and that by his/her  
signature on the instrument the person, or the entity upon behalf of which  
the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Olivia I. Montes

(Seal)

